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Indexing Officer: JBBROADWAY - JASON BROADWAY
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Dossier: 09991460

Legal Date: 11-06-2002

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____ IMIS ____
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____ LET. ____
Misc. Incoming Letter

____ 371P ____
PCT Papers in a 371 Application

____ A... ____
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____ ABST ____
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____ ADS ____
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____ APPENDIX ____
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____ ARTIFACT ____
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____ BIB ____
Bib Data Sheet

____ CLM ____
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____ COMPUTER ____
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____ CRFL ____
All CRF Papers for Backfile

____ DIST ____
Terminal Disclaimer Filed

____ DRW ____
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____ FRPR ____
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____ NPL ____
Non-Patent Literature

____ OATH ____
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____ PET. ____
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____ RETMAIL ____
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____ SEQLIST ____
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____ SPEC ____
Specification

____ SPEC NO ____
Specification Not in English

____ TRNA ____
Transmittal New Application

OUTGOING

____ CTMS ____
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____ 1449 ____
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____ 892 ____
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____ CTAV ____
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____ CTRF ____
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____ CTNF ____
Count Non-Final

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____ EXIN ____
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____ M903 ____
DO/EO Acceptance

____ M905 ____
DO/EO Missing Requirement

____ NFDR ____
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____ AP.B ____
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____ C.AD ____
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____ N/AP ____
Notice of Appeal

____ PA.. ____
Change in Power of Attorney

____ REM ____
Applicant Remarks in Amendment

____ XT/ ____
Extension of Time filed separate

Internal

____ SRNT ____
Examiner Search Notes

____ CLMPTO ____
PTO Prepared Complete Claim Set

____ ECBOX ____
Evidence Copy Box Identification

____ WCLM ____
Claim Worksheet

____ WFEE ____
Fee Worksheet

File Wrapper

____ FWCLM ____
File Wrapper Claim

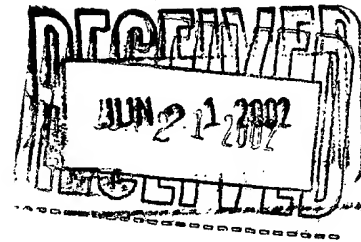
____ IIFW ____
File Wrapper Issue Information

____ SRFW ____
File Wrapper Search Info

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Attorney Docket No.: 020882-000110US

Assistant Commissioner for Patents
Washington, D.C. 20231



On June 4, 2002

TOWNSEND and TOWNSEND and CREW LLP

By Eric M. Jorgensen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BATCHKO

Application No.: 09/991,460

Filed: November 16, 2001

For: OPTICAL FREQUENCY
SYNTHESIZING STRUCTURE

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

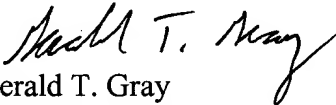
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

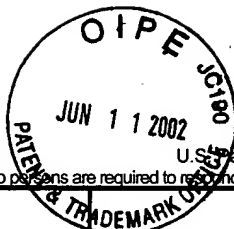
Respectfully submitted,


Gerald T. Gray
Reg. No. 41,797

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Application Number	09/991,460
Filing Date	November 16, 2001
First Named Inventor	BATCHKO, ROBERT G.
Group Art Unit	NYA
Examiner Name	Not Yet Assigned
Attorney Docket Number	020882-000110US

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,425,116		Dragone et al.	06/13/1995	
	AB	5,434,700		Yoo	07/18/1995	
	AC	5,459,807		Doumuki et al.	10/17/1995	
	AD	5,461,685		Glance et al.	10/24/1995	
	AE	5,475,780		Mizrahi	12/12/1995	
	AF	5,526,153		Glance	06/11/1996	
	AG	5,546,220		Endo et al.	08/13/1996	
	AH	5,581,642		Deacon et al.	12/03/1996	
	AI	5,586,206		Brinkman et al.	12/17/1996	
	AJ	5,708,734		Van Der Tol	01/13/1998	
	AK	5,748,350		Pan et al.	05/05/1998	
	AL	5,796,902		Bhat et al.	08/18/1998	
	AM	5,825,517		Antoniades et al.	10/20/1998	
	AN	5,946,129		Xu et al.	08/31/1999	
	AO	6,023,360		Morioka et al.	02/08/2000	
	AP	6,028,706		Shirasaki et al.	02/22/2000	
	AQ	6,130,971		Cao	10/10/2000	
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	AS	6,144,494		Shirasaki et al.	11/07/2000	
	AT	6,154,310		Galvanauskas et al.	11/28/2000	
	AU	6,169,604	B1	Cao	01/02/2001	
	AV	6,169,616	B1	Cao	01/02/2001	
	AW	6,169,630	B1	Shirasaki et al.	01/02/2001	
	AX	6,169,828	B1	Cao	01/02/2001	

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